

FORTRESS FINANCIAL SOLUTIONS, LLC
INITIAL NOTICE OF PRIVACY PRACTICES

Fortress Financial Solutions, LLC, an investment advisory firm, is committed to safeguarding the confidential information of its clients. We do collect nonpublic, personal information to provide you with accurate and pertinent financial advice. We hold all nonpublic, personal information you provide to us in the strictest confidence. If we were to change our firm policy, we would be prohibited under the law from doing so without advising you first.

Fortress Financial Solutions, LLC collects personal information about you from the following sources:

- Applications or other forms.
- Discussions with unaffiliated third parties.
- Information about your transactions with us or others.
- Questionnaires.
- Tax Returns.
- Estate Planning Documents.
- Insurance Policies.
- Corporate Filings and Related Items

Fortress Financial Solutions, LLC uses your personal information in the following manner:

- We do not disclose any non-public information about you to anyone, except as permitted by law, nor do we sell your personal information to anyone for any purpose.
- We limit employee and agent access to information only to those who have a business or professional reason for knowing (e.g., federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your

behalf, or so that our firm can discuss your financial situation with your accountant or lawyer.)

- We will provide notice of changes in our information sharing practices. If, at any time in the future, it is necessary to disclose any of your personal information in a way that is inconsistent with this policy, we will give you advance notice of the proposed change so you will have the opportunity to opt out of such disclosure.
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk. To mitigate any possible breaches, computer security measures have been instituted where applicable including the use of double locking doors, passwords, encrypted emails, encrypted hard drives, and encrypted backups. Systems are tested, monitored, and updated as needed.
- For unaffiliated third parties that require access to your personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.
- Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws. After this required period of record retention, all such information will be destroyed.